

02-09-00

Attorney Docket No. 8603-0190.20

#2/A  
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J.S.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Certification under 37 CFR §1.10 (if applicable)

EL 530 369 376 US  
Express Mail Label Number

February 7, 2000  
Date of Deposit

I hereby certify that this Transmittal Letter, enclosed application and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Matthew D. Redlon  
(Print Name of Person Mailing Application)

(Signature of Person Mailing Application)

Transmittal of Divisional Patent Application  
for Filing Under 37 CFR §1.53(b)

J6678 U.S. PTO  
09/498701  
02/07/00

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: Transmitted herewith for filing is a patent application by inventor(s):  
Barry M. Trost and Iwao Hachiya, and entitled:

CATALYTIC COMPOSITION AND METHODS FOR ASYMMETRIC ALLYLIC ALKYLATION

1. Enclosed are:

- ☒ One stamped, self-addressed postcard for PTO datestamp.
- ☒ Certificate of Express Mail.
- ☒ One utility patent application containing text pages 1-45 and
  - ☒ 4 Sheets of drawings.
- ☒ Copy of executed Declaration of Inventorship from parent application Serial No. 09/213,395.
- ☐ Sequence listing printout, diskette, and matching declaration.
- ☐ \* Sheets of formal drawings.
- ☒ A Preliminary Amendment.
- ☐ A new Power of Attorney.

2. Amendment

- ☒ Please enter the enclosed Preliminary Amendment before calculating the filing fee.
- ☒ Please cancel original claims 1-16 and 24-52, inclusive, before calculating the filing fee. (At least one original independent claim should be retained for filing purposes.)

3. Extension of Time

- ☐ A petition for extension of time has been filed in the parent to extend the pendency of the parent to \* (copy enclosed).
- ☒ Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing if required to establish copendency with the parent after all papers filed herewith have been considered.

4. U.S. Priority

- ☒ This application is a division of Ser. No. 09/213,395 filed December 15, 1998, which is incorporated herein by reference.

5. Foreign Priority

- ☐ Priority of Application No. \* filed in \* on \* is claimed under 35 USC §119.
- ☐ A certified copy of this priority document is enclosed.

6. Prior Documents Still In Effect

- ☒ This application and parent application Serial No. 09/213,395 filed December 15, 1998 are assigned of record to The Board of Trustees of the Leland Stanford Junior University, as recorded in the U.S. Patent and Trademark Office at Reel 9842 and Frame 0338.
- ☒ Power of Attorney in the above-identified prior application is to LeeAnn Gorthey, Reg. No. 37,337, and the other attorneys or agents identified therein.

7. Entity Status

- ☒ Large entity status applies to this application.
- ☐ Small entity status is proper under 37 CFR 1.28(a) in light of the Small Entity Statement(s) submitted in parent App. No. \* on \*.

8. Fees

The filing fee has been calculated taking into account any amendments in section 2 above:

For:	(Col. 1)	(Col. 2)	Small Entity			Other Than a Small Entity	
	No. Filed	No. Extra	Rate	Fee		Rate	Fee
Basic Fee				\$345.00	or		\$690.00
Total Claims	11 - 20	0	0 x \$ 9 =	\$	or	0 x \$ 18 =	\$ 0.00
Independent Claims	2 - 3	0	0 x \$39 =	\$	or	0 x \$ 78 =	\$ 0.00
<input type="checkbox"/> Multiple Dependent Claim Presented			+ \$130 =	\$	or	+ \$260 =	\$ 0.00
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$	or	TOTAL	\$690.00

- ☒ A check in the amount of \$690.00 is enclosed to cover the Filing Fee. The Commissioner is hereby authorized to charge any deficiency in fees under 37 CFR 1.16 and 1.17 to Deposit Account 04-0531.
- ☐ Please charge the Filing Fee and any other fees necessary for timely filing of this application to Deposit Account 04-0531.
- ☐ \*Filing fee to be submitted in response to anticipated receipt of Notice to File Missing Parts. DO NOT CHARGE DEPOSIT ACCOUNT.

Respectfully submitted,



LeeAnn Gorthey  
Registration No. 37,337

Date: Feb. 7, 2000

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